414 Rec'd PCT/PTO 10 OCT 2000

PATENT COOPERATION TREATY
IN THE UNITED STATES RECEIVING OFFICE (RO/US)

Applicant : Mehmet Kemal Ozkan, Chia-Yuan Teng and Edwin Arturo Heredia
Filed : Herewith
For : A SYSTEM FOR FORMING AND PROCESSING VERY LARGE PROGRAM GUIDES SUITABLE FOR TERRESTRIAL CABLE OR SATELLITE BROADCAST

PETITION UNDER 37 C.F.R. 1.47(a)
ONE INVENTOR NOT AVAILABLE

Hon. Commissioner for Patents
Box PCT
Washington, D.C. 20231
Attn.: International Division, Legal Staff

Sir:

Applicants hereby petition the United States Patent and Trademark Office under 37 C.F.R. 1.47(a) to accept the above-identified national stage application without an executed Declaration from one of the three named Inventors.

This Petition is submitted to advise that the Agent has been unable to obtain the signature of Mr. Mehmet Kemal Ozkan (one of the Applicant's for the above-identified application) on the PCT Assignment and the PCT Declaration forms in the name of the assignee, Thomson Consumer Electronics, Inc.

Please charge the required fee of one hundred thirty dollars ($130.00) for the petition, under 37 C.F.R. 1.47(b), pursuant to 37 CFR 1.17(i) to Deposit Account 07-0832.

In response to the Notice of Missing Requirements Under 35 U.S.C. 371 dated September 8, 2000, no paper number, in the subject patent application and filed herewith, for which a response is due on October 8, 2000, the following petition and exhibits are submitted in support of Applicants claim that the Inventor is not available.

Applicant submits that in view of the attached Certificate of Mailing, the authorization to charge the Deposit Account the fee for filing of this Petition and the response to the Notice of Missing Parts is timely.

10/12/2000 LLANDGRA 00000043 070832 09622388
02 FC:122 130.00 CH

Best Available Copy

In support of this petition, the following exhibits are enclosed:

Included are copies of the "Combined Declaration for Patent Application and Power of Attorney" and copies of Assignments for this PCT international application as executed by Applicants, Chia-Yuan Teng and Edwin Arturo Heredia. As required by 37 C.F.R. 1.47(b) the Declaration states the full name, last know residence, last known post office address and citizenship of the missing Inventor (**Exhibit B**).

Applicants have attached a Declaration (**Exhibit A**) executed by Ms. Davida Fornarotto, Paralegal Administrative Assistant, Thomson multimedia Licensing Inc. Ms. Fornarotto is entrusted with handling PCT Applications for Thomson multimedia Licensing Inc. and obtaining signatures from Inventors on all necessary documents.

As required by 37 C.F.R. 1.47(b) and MPEP section 409.03(b)(C), **Exhibit A** is submitted as proof that diligent effort was made to locate the Inventor and the Inventor could not be found. The efforts of Ms. Fornarotto to locate the inventor are stated in the Declaration and occurred as follows:

On March 4, 1999, Ms. Fornarotto had sent an electronic e-mail to the inventor, Mr. Mehmet Kemal Ozkan, to verify his home address in Turkey. On March 5, 1999, the inventor sent an electronic e-mail answering Ms. Fornarotto and confirming his address. On March 5, 1999, a letter was sent by courier to stated address, the last known address of the Inventor. The letter was sent via DHL airway bill no. 8879654851 and included a copy of the application along with a PCT Assignment to Thomson Consumer Electronics, Inc. and Power of Attorney, for signature by the Inventor for filing in the United States. A copy of DHL airway bill no. 8879654851 along with the letter to Mr. Ozkan and also copies of the electronic e-mail messages are attached as **Exhibit C**.

On March 24, 1999, Ms. Fornarotto sent an electronic e-mail once again to the Inventor requesting the signed formal papers. On March 25, 1999, the Inventor replied that he would be sending them to her very soon. On March 31, 1999, Ms. Fornarotto sent another electronic e-mail requesting the signed formal papers. The last communication or correspondence Ms. Fornarotto received was an electronic e-mail message from the Inventor on Aril 5, 1999, stating that the inventor was sending

the documents with someone travelling to the U.S. Ms. Fornarotto never received the signed formal papers. Copies of the e-mails are included as **Exhibit D**.

On November 12, 1999, Ms. Fornarotto sent copies of formal papers for several applications to Mr. Ozkan via DHL, Airwaybill No. 7786866555, and the response was that Mr. Ozkan was unknown at the address on the airwaybill no. (his last known address), see DHL letter of April 6, 2000 - **Exhibit E**.

On November 22, 1999, Ms. Fornarotto sent an e-mail to Mr. William Lagoni, Intellectual Property Administrator at the main office for Thomson multimedia Licensing Inc. in Indianapolis. Mr. Lagoni is the liaison between the Intellectual Property Legal Office in Princeton, N.J. and the main office in Indianapolis, aiding our office in locating past and present employees. This e-mail requested a last known address for Mr. Ozkan. On November 22, 1999, Mr. Lagoni responded via e-mail including the last known address and contact information for Mr. Ozkan. Mr. Lagoni also stated that the e-mail address had worked the last time he tried it and suggested that Ms. Fornarotto e-mail Mr. Ozkan. Ms. Fornarotto sent an e-mail to Mr. Ozkan using the supplied e-mail address on November 22, 1999 requesting an updated address to which the copy of the application along with a PCT and Power of Attorney could be sent. A copy of these e-mails are attached as **Exhibit F.**

On December 1, 1999, Mr. Lagoni e-mailed Ms. Fornarotto asking if a response was received from Mr. Ozkan. Ms. Fornarotto responded that no response was received and asked what further could be done to reach Mr. Ozkan (**Exhibit G**).

On December 3, 1999, Ms. Fornarotto wrote to Mr. Lagoni a second time informing him that no response was received from Mr. Ozkan. Mr. Lagoni responded in a return e-mail dated December 4, 1999 informing Ms. Fornarotto that he also sent an e-mail to Mr. Ozkan and had not received a reply. A copy of these e-mails are attached to the petition as **Exhibit H**.

On December 7, 1999, Mr. Lagoni indicated to Ms. Fornarotto that he had sent two e-mails to Mr. Ozkan, each of which had been returned as undeliverable. Ms. Fornarotto responded by mentioning a discussion with her supervisor in which it was decided that a petition under 37 C.F.R. 1.47(a) should be filed discussing the present situation. A copy of this e-mail is attached to the petition as **Exhibit I**.

On July 21, 2000, Ms. Fornarotto sent an electronic e-mail to the inventor requesting his present address and phone number. No response to this e-mail was received and, as of the date of this petition, a response has still not been received **(Exhibit J)**.

In view of the above remarks and attached exhibits and Declaration, Applicants respectfully submit that diligent effort has been made to locate the Inventor and to have Mr. Ozkan execute the Declaration. It is thus, respectfully submitted that this Petition be granted and the national stage of the present application be accepted without the signature of Mr. Ozkan.

Please charge the petition fee of $130 to Deposit Account 07-0832 and if an additional fee is due, please charge to same account.

Respectfully submitted,

By: Alexander Burke
Alexander J. Burke
Reg. No. 40,425
(609) 734-9503

Patent Operations
Thomson Licensing, Inc.
P.O. Box 5312,
Princeton, NJ 08543-5312

October 9, 2000

CERTIFICATE OF MAILING

I hereby certify that this Response to Notification of Missing Requirements is being deposited with the United States Postal Service via "Express Mail Post Office to Addressee" service, Express Mail Label: EL667107932US, under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents Washington, D.C. 20231 on:

October 10, 2000
Date of Deposit

Anelia F. Urban
Anelia F. Urban
(Name of Person mailing papers)

On July 21, 2000, Ms. Fornarotto sent an electronic e-mail to the inventor requesting his present address and phone number. No response to this e-mail was received and, as of the date of this petition, a response has still not been received **(Exhibit J)**.

In view of the above remarks and attached exhibits and Declaration, Applicants respectfully submit that diligent effort has been made to locate the Inventor and to have Mr. Ozkan execute the Declaration. It is thus, respectfully submitted that this Petition be granted and the national stage of the present application be accepted without the signature of Mr. Ozkan.

Please charge the petition fee of $130 to Deposit Account 07-0832 and if an additional fee is due, please charge to same account.

Respectfully submitted,

By: Alexander Burke
Alexander J. Burke
Reg. No. 40,425
(609) 734-9503

Patent Operations
Thomson Licensing, Inc.
P.O. Box 5312,
Princeton, NJ 08543-5312

October 9, 2000

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October 10, 2000
Date of Deposit

Anelia F. Urban
Anelia F. Urban
(Name of Person mailing papers)

EXHIBIT A

PATENT COOPERATION TREATY
IN THE UNITED STATES RECEIVING OFFICE (RO/US)

Applicant : Mehmet Kemal Ozkan, Chia-Yuan Teng and Edwin Arturo Heredia
Filed : Herewith
For : A SYSTEM FOR FORMING AND PROCESSING VERY LARGE PROGRAM GUIDES SUITABLE FOR TERRESTRIAL CABLE OR SATELLITE BROADCAST
Pct No. : PCT/US99/03513
International Filing Date: 18 February 1999
Priority Date: 20 February 1998

RULE 1.131 DECLARATION OF DAVIDA FORNAROTTO

I, Davida Fornarotto, declare as follows:

1. I am currently employed by Thomson multimedia Licensing, Inc., assignee of the above-captioned United States Patent Application;

2. I am responsible for filing and maintaining a docket for all PCT applications for Thomson multimedia Licensing, Inc. These duties include obtaining signatures from inventors on Declarations, Assignments and Powers of Attorney;

3. On March 4, 1999, I sent an e-mail to Mr. Mehmet Kemal Ozkan to verify his home address in Turkey. On March 5, 1999, Mr. Ozkan answered via e-mail and confirmed his address. On March 5, 1999, a letter was sent to that address (last known address of Inventor). The letter was sent via DHL airway bill # 8879654851 and included a copy of the application along with a PCT Assignment to Thomson Consumer Electronics Inc. and Power of Attorney, for signature by the Inventor, Mr. Ozkan Kemal Ozkan, for filing in the United States.

4. On March 24, 1999, I sent an e-mail to Mr. Ozkan requesting the signed formal papers. On March 25, 1999, Mr. Ozkan replied via e-mail that he would be sending the signed papers soon. On March 31, 1999, I sent another electronic e-mail requesting the signed formal papers. The last communication or correspondence I received from Mr. Ozkan was an electronic e-mail message on Aril 5, 1999, stating that he was sending the documents with someone travelling to the U.S.

5. On November 22, 1999, I sent an e-mail to Mr. William Lagoni, Intellectual Property Administrator at the main office for Thomson multimedia

Licensing Inc. in Indianapolis. This e-mail requested a last known address for Mr. Ozkan.

6. On November 22, 1999, I received a reply from Mr. Lagoni including a last known address for Mr. Ozkan as well as additional contact information. Mr. Lagoni also suggested that I e-mail Mr. Ozkan in this reply.

7. Also on November 22, 1999, I sent an e-mail to Mr. Ozkan requesting an updated address to which the copy of the application along with a PCT Assignment and Power of Attorney could be sent.

8. On December 3, 1999, I wrote another e-mail to Mr. Lagoni informing him that no response was received from Mr. Ozkan.

9. I received a response from Mr. Lagoni by return e-mail informing me that he also sent an e-mail to Mr. Ozkan and had not received a reply.

10. On December 7, 1999, I received an e-mail from Mr. Lagoni stating he had sent two e-mails to Mr. Ozkan, each of which had been returned as undeliverable.

11. I responded to Mr. Lagoni indicating that, upon discussing the situation with Mr. Irwin Krittman, we had decided to file a Petition under 37 C.F.R. 1.47(a).

12. On April 6, 2000, a letter was received from DHL confirming their attempts to reach Mr. Ozkan and the difficulties encountered.

13. On July 21, 2000, I sent an e-mail to Mr. Ozkan requesting his present address and phone number. I have not received a response to that e-mail and, as of the date of this petition, I have still not received a response.

14. All statements made herein to my knowledge are true. These statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful statements may jeopardize the validity of the Application or any patent issued thereon.

Oct. 9, 2000
Date

Davida Fornarotto
Davida Fornarotto

EXHIBIT B

EXPRESS MAIL LABEL EL667107932US
Serial No.09/622,388

PATENT
RCA 88,914

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : MEHMET KEMAL OZKAN, CHIA-YUAN TENG, EDWIN ARTURO HEREDIA

Serial No. : 09/622,388

Int'l Appln. No. : PCT/US99-03513

Filed : 18 February 1999

For : A SYSTEM FOR FORMING AND PROCESSING VERY LARGE PROGRAM GUIDES SUITABLE FOR TERRESTRIAL CABLE OR SATELLITE BROADCAST

ATTENTION: PCT RECEIVING OFFICE

FILING OF MISSING PARTS OF APPLICATION -
RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

Hon. Commissioner of Patents
Box PCT
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 371, mailed June 22, 2000, and relating to the above-identified application Serial No.09/555,188, Applicants hereby submit the following:

1) An executed Declaration and Powers of Attorney – 2 pages.

2) An executed Assignment with Recordal Cover Page – 3 pages.

3) Copy of Missing Parts Notice PCT/DO/EO/905.

Also included with this correspondence is a PETITION UNDER 37 C.F.R. 1.47(a) ONE INVENTOR NOT AVAILABLE.

Please charge the following fees to Deposit Account No. 07-0832:

1) A surcharge of $130.00 required under 37 CFR 1.497(a) and (b) for filing the Declaration and basic filing fee on a date later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

2) An assignment recordation fee of $40.00.

Please charge any additional fees and credit any overpayments to Deposit Account No. 07-0832. A duplicate copy of this letter is enclosed for use in charging the deposit account.

Respectfully submitted,

Alexander J. Burke
Registration No. 40,425
609/734-9503

THOMSON multimedia Licensing Inc.
PO Box 5312
Princeton, NJ 08543-5312
DATE: October 9, 2000

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that this Response to Notification of Missing Requirements is being deposited with the United States Postal Service via "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents Washington, D.C. 20231 on:

October 10, 2000
Date of Deposit

Anelia F. Urban
Anelia F. Urban
(Name of Person mailing papers)

Combined Declaration For Patent Application and Power of Attorney (Continued)
(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER: RCA 88914

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowlege the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS		STATUS (Check one)		
U.S APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
60/075,412	20 February 1998			
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		
PCT/US99/03513	18February1999(18.02.99)			

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(List name and registration number)*

Joseph S. Tripoli - Reg. No. 26,040
Eric P. Herrmann - Reg. No. 29,169
Alexander J. Burke - Reg. No. 40,425

Send Correspondence to:

Joseph S. Tripoli - Patent Operations
THOMSON multimedia Licensing Inc.
PO Box 5312
Princeton, New Jersey 08540 US

Direct Telephone Calls to: *(name and telephone number)*

609-734-9503

201	FULL NAME OF INVENTOR	FAMILY NAME: OZKAN	FIRST GIVEN NAME: Mehmet	SECOND GIVEN NAME: Kemal
	RESIDENCE & CITIZENSHIP	CITY: Istanbul	STATE OR FOREIGN COUNTRY: Turkey	COUNTRY OF CITIZENSHIP: TR
	POST OFFICE ADDRESS	POST OFFICE ADDRESS: Idealtepe Mah.Aydin Sok. Sordaroglu Apt. 10/14	CITY: Istanbul	STATE & ZIP CODE/COUNTRY: Maltepe 81580/TR
202	FULL NAME OF INVENTOR	FAMILY NAME: TENG	FIRST GIVEN NAME: Chia-Yuan	SECOND GIVEN NAME:
	RESIDENCE & CITIZENSHIP	CITY: San Diego	STATE OR FOREIGN COUNTRY: California	COUNTRY OF CITIZENSHIP: Taiwan
	POST OFFICE ADDRESS	POST OFFICE ADDRESS: 7384 Celata Lane	CITY: San Diego	STATE & ZIP CODE/COUNTRY: California 92129/US
203	FULL NAME OF INVENTOR	FAMILY NAME: HEREDIA	FIRST GIVEN NAME: Edwin	SECOND GIVEN NAME: Arturo
	RESIDENCE & CITIZENSHIP	CITY: San Jose	STATE OR FOREIGN COUNTRY: California	COUNTRY OF CITIZENSHIP: Boliva
	POST OFFICE ADDRESS	POST OFFICE ADDRESS: 1700 North First Street #235	CITY: San Jose	STATE & ZIP CODE/COUNTRY: California 95112/US

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
Mehmet Kemal Ozkan	Chia-Yuan Teng	Edwin Arturo Heredia
DATE	DATE	DATE

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER
RCA 88914

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A MULTIMEDIA SYSTEM FOR ADAPTIVELY FORMING AND PROCESSING EXPANSIVE PROGRAM GUIDES

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Serial No. _____

on _____,

and was amended

on _____ (if applicable).

☒ was filed as PCT international application

Number PCT/US99/03513

on 18 February 1999,

and was amended under PCT Article ~~19~~ 34

on 18 February 2000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowlege the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			☐ YES ☐ NO
			☐ YES ☐ NO
			☐ YES ☐ NO
			☐ YES ☐ NO

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER
RCA 88914

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A MULTIMEDIA SYSTEM FOR ADAPTIVELY FORMING AND PROCESSING EXPANSIVE PROGRAM GUIDES

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Serial No. _____

on _____,

and was amended

on _____ (if applicable).

☒ was filed as PCT international application

Number PCT/US99/03513

on 18 February 1999,

and was amended under PCT Article ~~19~~ 34

on 18 February 2000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowlege the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			☐ YES ☐ NO
			☐ YES ☐ NO
			☐ YES ☐ NO
			☐ YES ☐ NO

Combined Declaration For Patent Application and Power of Attorney (Continued)
(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER: RCA 88914

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowlege the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS		STATUS (Check one)		
U S APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
60/075,412	20 February 1998			

PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			
PCT/US99/03513	18February1999(18.02.99)				

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(List name and registration number)*

Joseph S. Tripoli - Reg. No. 26,040
Eric P. Herrmann - Reg. No. 29,169
Alexander J. Burke - Reg. No. 40,425

Send Correspondence to:

Joseph S. Tripoli - Patent Operations
THOMSON multimedia Licensing Inc.
PO Box 5312
Princeton, New Jersey 08540 US

Direct Telephone Calls to: *(name and telephone number)*

609-734-9503

FULL NAME OF INVENTOR	FAMILY NAME: OZKAN	FIRST GIVEN NAME: Mehmet	SECOND GIVEN NAME: Kemal	
RESIDENCE & CITIZENSHIP	CITY: Istanbul	STATE OR FOREIGN COUNTRY: Turkey	COUNTRY OF CITIZENSHIP: TR	
POST OFFICE ADDRESS	POST OFFICE ADDRESS: Idealtepe Mah.Aydin Sok. Serdaroglu Apt. 10/14	CITY: Istanbul	STATE & ZIP CODE/COUNTRY: Maltepe 81580/TR	
FULL NAME OF INVENTOR	FAMILY NAME: TENG	FIRST GIVEN NAME: Chia-Yuan	SECOND GIVEN NAME:	
RESIDENCE & CITIZENSHIP	CITY: San Diego	STATE OR FOREIGN COUNTRY: California	COUNTRY OF CITIZENSHIP: Taiwan	
POST OFFICE ADDRESS	POST OFFICE ADDRESS: 7384 Celata Lane	CITY: San Diego	STATE & ZIP CODE/COUNTRY: California 92129/US	
FULL NAME OF INVENTOR	FAMILY NAME: HEREDIA	FIRST GIVEN NAME: Edwin	SECOND GIVEN NAME: Arturo	
RESIDENCE & CITIZENSHIP	CITY: San Jose	STATE OR FOREIGN COUNTRY: California	COUNTRY OF CITIZENSHIP: Boliva	
POST OFFICE ADDRESS	POST OFFICE ADDRESS: 1700 North First Street #235	CITY: San Jose	STATE & ZIP CODE/COUNTRY: California 95112/US	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
lehmet Kemal Ozkan	Chia-Yuan Teng	Edwin Arturo Heredia
ATE	DATE	DATE

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that this Response to Notification of Missing Requirements is being deposited with the United States Postal Service via "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents Washington, D.C. 20231 on:

October 10, 2000
Date of Deposit

Anelia F. Urban
Anelia F. Urban
(Name of Person mailing papers)

09/622388

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

ENTERED SEP 17 2000

88 9/14

M RCA 88914

U.S. APPLICATION NO.		FIRST NAMED APPLICANT	ATTY. DOCKET NO.

5611

JOSEPH S TRIPOLI
PO BOX 5312
PRINCETON NJ 08540

PCT/US99/03513

INTERNATIONAL APPLICATION NO.

I.A. FILING DATE: 2/17/99 PRIORITY DATE 02/20/98

DATE MAILED: 09/08/00

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

100800.006A

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as
 - ☐ a Designated Office (37 CFR 1.494),
 - ☑ an Elected Office (37 CFR 1.495):
 - ☑ U.S. Basic National Fee.
 - ☑ Copy of the international application in:
 - ☐ a non-English language.
 - ☑ English.
 - ☐ Translation of the international application into English.
 - ☐ Oath or Declaration of inventors(s) for DO/EO/US.
 - ☐ Copy of Article 19 amendments.
 - ☐ Translation of Article 19 amendments into English.
 - ☑ The International Preliminary Examination Report in English and its Annexes, if any.
 - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
 - ☑ Preliminary amendment(s) filed Aug 16, 2000 and _____.
 - ☐ Information Disclosure Statement(s) filed _____ and _____.
 - ☐ Assignment document.
 - ☐ Power of Attorney and/or Change of Address.
 - ☐ Substitute specification filed _____.
 - ☐ Verified Statement Claiming Small Entity Status.
 - ☐ Priority Document.
 - ☑ Copy of the International Search Report ☑ and copies of the references cited therein.
 - ☐ Other:
2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
 - ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 - ☑ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
 - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 - ☑ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
3. Additional claim fees of $_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☑ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later that the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR. 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:
☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

John Anderson
Telephone: 703 305 7116

Express Mail Label: EL67107932US

RCA 88,914

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Director of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Mehmet Kemal Ozkan
Chia-Yuan Teng
Edwin Arturo Heredia

Additional name(s) of conveying party(ies) attached: **X** No

2. Name and address of receiving party(ies):
THOMSON Licensing S.A.

Address: **46 Quai A. LeGallo**
Boulogne, Cedex
FRANCE

3. Nature of convenance:
X Assignment — Merger
Security Agreement — Change of Name
Other _____

Execution Date: **August 7, 2000 and August 16, 2000 respectively**

Additional name(s) & address(es) attached? X No

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
US 60/075,412
PCT/US99/03513 (Serial No. 09/622,388)

B. Patent No.(s) ----

Additional numbers attached? X No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: JOSEPH S. TRIPOLI
Internal Address: THOMSON MULTIMEDIA LICENSING, INC.
PATENT OPERATIONS

Street Address: P. O. BOX 5312

City: PRINCETON State: NEW JERSEY Zip :08543-5312

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): **$40.00**
_____ Enclosed
__X__ Authorized to be charged to deposit account

8. Deposit account number:
07-0832
(Attach duplicate copy of this page if paying by deposit acct)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alexander J. Burke
Name of Person Signing

Alexander Burke
Signature

Reg.No.40,425

9 October 2000
Date

Total number of pages including cover sheet, attachments, and document 3

OMB No. 0651-0011 (exp. 4/94)

DO NOT DETACH THIS PORTION

Mail documents to be recorded with required cover sheet information to:

Director of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

(PCT Priority Application)

RCA 88914

ASSIGNMENT AND AGREEMENT

I hereby declare that I am the sole inventor (if only one inventor is named below) or one of 3 joint inventors (if plural inventors are named below) of the inventions relating to

A MULTIMEDIA SYSTEM FOR ADAPTIVELY FORMING AND PROCESSING EXPANSIVE PROGRAM GUIDES

and described in RCA Docket No 88914 and the thereupon-based International (Patent Cooperation Treaty) Application for patent about to be filed or lately filed by said corporation naming me/us as inventor(s).

For valuable consideration received, I hereby sell, assign and transfer to THOMSON LICENSING S.A., a corporation duly organized and existing under the laws of the country of France, its successors, and assigns my entire right, title and interest, for all countries in and to said inventions and application, and all the rights and privileges under any and all Patents that may be granted therefor, and any divisions, continuations, reissues and extensions thereof.

I agree that, when requested, I will, without charge to said corporation but at its expense, sign all papers, take all rightful oaths, make all rightful declarations and do all acts which may be necessary, desirable or convenient for securing and maintaining patents or other forms of protection for said inventions in any and all countries and for vesting title thereto in said corporation, its successors, assigns or nominees.

I agree that I will communicate to said corporation or its representatives any facts known to me respecting said inventions and when requested by said corporation and at its expense will testify in any legal proceedings, and generally do everything possible to aid said corporation, its successors, assigns and legal representatives or nominees, to obtain or enforce proper protection for said inventions in any and all countries.

I authorize and empower said corporation, its successors, assigns or nominees, to make application for patent or other form of protection for said inventions in its or their own name, or in my/our name, in any and all countries and to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said corporation or its successors, assigns or nominees to apply for patent or other proper protection for said inventions, and to claim the aforesaid benefits of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

I covenant with THOMSON LICENSING S.A., its successors, assigns, legal representatives, and nominees, that to the best of my knowledge the right, title and interest herein conveyed by me are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at _____ Date _____

Inventor (1) MEHMET KEMAL OZKAN
(Type or Print) _____ (Signature in Full. No initials.)

Post Office Address Idealtepe Mah. Aydin Sok., Serdaroglu Apt. 10/14, Maltepe 81580, Istanbul, Turkey

1st Witness _____ (Type or Print) _____ (Signature in Full. No initials.)

2ndWitness _____ (Type or Print) _____ (Signature in Full. No initials.)

Signed at Chia-Yuan Teng Date Aug 16 2005

Inventor (2) CHIA-YUAN TENG
(Type or Print) _____ (Signature in Full. No initials.)

Post Office Address 7384 Celata Lane, San Diego, California 92129 USA

1st Witness ZUQIANG FU (Type or Print) _____ (Signature in Full. No initials.)

2ndWitness GAUTAM SRIDHARA (Type or Print) _____ (Signature in Full. No initials.)

Signed at _____ Date _____

Inventor (3) EDWIN ARTURO HEREDIA
(Type or Print) _____ (Signature in Full. No initials.)

Post Office Address 1700 North First Street #235, San Jose, California 95112 USA

1st Witness _____ (Type or Print) _____ (Signature in Full. No initials.)

2ndWitness _____ (Type or Print) _____ (Signature in Full. No initials.)

After this Assignment has been recorded please RETURN to: Patent Operations
THOMSON multimedia Licensing Inc.
PO Box 5312
Princeton, NJ 08543-5312

Pat Form 7/89

(PCT Priority Application)

RCA 88914

ASSIGNMENT AND AGREEMENT

I hereby declare that I am the sole inventor (if only one inventor is named below) or one of 3 joint inventors (if plural inventors are named below) of the inventions relating to

A MULTIMEDIA SYSTEM FOR ADAPTIVELY FORMING AND PROCESSING EXPANSIVE PROGRAM GUIDES

and described in RCA Docket No 88914 and the thereupon-based International (Patent Cooperation Treaty) Application for patent about to be filed or lately filed by said corporation naming me/us as inventor(s).

For valuable consideration received, I hereby sell, assign and transfer to THOMSON LICENSING S.A., a corporation duly organized and existing under the laws of the country of France, its successors, and assigns my entire right, title and interest, for all countries in and to said inventions and application, and all the rights and privileges under any and all Patents that may be granted therefor, and any divisions, continuations, reissues and extensions thereof.

I agree that, when requested, I will, without charge to said corporation but at its expense, sign all papers, take all rightful oaths, make all rightful declarations and do all acts which may be necessary, desirable or convenient for securing and maintaining patents or other forms of protection for said inventions in any and all countries and for vesting title thereto in said corporation, its successors, assigns or nominees.

I agree that I will communicate to said corporation or its representatives any facts known to me respecting said inventions and when requested by said corporation and at its expense will testify in any legal proceedings, and generally do everything possible to aid said corporation, its successors, assigns and legal representatives or nominees, to obtain or enforce proper protection for said inventions in any and all countries.

I authorize and empower said corporation, its successors, assigns or nominees, to make application for patent or other form of protection for said inventions in its or their own name, or in my/our name, in any and all countries and to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said corporation or its successors, assigns or nominees to apply for patent or other proper protection for said inventions, and to claim the aforesaid benefits of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

I covenant with THOMSON LICENSING S.A., its successors, assigns, legal representatives, and nominees, that to the best of my knowledge the right, title and interest herein conveyed by me are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at _____ Date _____

Inventor (1) MEHMET KEMAL OZKAN (Type or Print) _____ (Signature in Full. No initials.)

Post Office Address Idealtepe Mah. Aydin Sok., Serdaroglu Apt. 10/14, Maltepe 81580, Istanbul, Turkey

1st Witness _____ (Type or Print) _____ (Signature in Full. No initials.)

2ndWitness _____ (Type or Print) _____ (Signature in Full. No initials.)

Signed at _____ Date _____

Inventor (2) CHIA-YUAN TENG (Type or Print) _____ (Signature in Full. No initials.)

Post Office Address 7384 Celata Lane, San Diego, California 92129 USA

1st Witness _____ (Type or Print) _____ (Signature in Full. No initials.)

2ndWitness _____ (Type or Print) _____ (Signature in Full. No initials.)

Signed at San Jose, California Date 8/7/2000

Inventor (3) EDWIN ARTURO HEREDIA (Type or Print) Edwin Arturo Heredia (Signature in Full. No initials.)

Post Office Address 1700 North First Street #235, San Jose, California 95112 USA

1st Witness Erica Krisien Ruckriegel (Type or Print) Erica Krisien Ruckriegel (Signature in Full. No initials.)

2ndWitness XIANGLIN WANG (Type or Print) Xianglin Wang (Signature in Full. No initials.)

After this Assignment has been recorded please RETURN to: Patent Operations
THOMSON multimedia Licensing Inc.
PO Box 5312
Princeton, NJ 08543-5312

Pat Form 7/89

EXHIBIT C

Fornarotto, Davida (CORP, GERLMO)

From: Fornarotto, Davida (CORP, GERLMO)
Sent: Thursday, March 4, 1999 2:56 PM
To: 'mehmet.ozkan@do.net.tr'
Subject: Your Correct Home Address

Dear Mr. Ozkan:

We are going to file three applications of yours via the PCT and would like to send forms to you for your signature.

The address that I have for you is as follows:

Idealtepe Mah. Aydin Sok.
Serdaroglu Apt 10/14
Maltepe 81580 Istanbul
Turkey

Would you please reply back and let me know if this is your correct home address. If it is not, please let me know what your correct address is and also a phone number so we may be able to get in touch with you.

Thank you for your help in this matter.

Ms. Davida Fornarotto
Paralegal
Thomson multimedia Licensing Inc.

Fornarotto, Davida (CORP, GERLMO)

From: Mehmet Ozkan
Sent: Friday, March 5, 1999 2:42 AM
To: Fornarotto, Davida (CORP, GERLMO)
Subject: Re: Your Correct Home Address

Ms. Davida Fornarotto,
the address is correct

Idealtepe Mah. Aydin Sok.
Serdaroglu Apt 10/14
Maltepe 81580 Istanbul
Turkey

in addition my phone numbers are:
home: (90) 216 489 6177
work: (90) 212 215 5163
GSM (90) 532 644 5370

E-mail mehmet.ozkan@do.net.tr

To expedite the process and make sure timely delivery, I would appreciate if you
could include DHL return slip or account that can be used from TURKEY.
The number you usually include is only valid for the US and does not work from here

Regards

Mehmet Ozkan

-----Original Message-----
From: Fornarotto, Davida (CORP, GERLMO) <davida.fornarotto@corporate.ge.com>
To: 'mehmet.ozkan@do.net.tr' <mehmet.ozkan@do.net.tr>
Date: Thursday, March 04, 1999 9:59 PM
Subject: Your Correct Home Address

>Dear Mr. Ozkan:
>
>We are going to file three applications of yours via the PCT and would like
>to send forms to you for your signature.
>
>The address that I have for you is as follows:
>
>Idealtepe Mah. Aydin Sok.
>Serdaroglu Apt 10/14
>Maltepe 81580 Istanbul
>Turkey
>
>Would you please reply back and let me know if this is your correct home
>address. If it is not, please let me know what your correct address is and
>also a phone number so we
>may be able to get in touch with you.
>

>Thank you for your help in this matter.
>
>
>Ms. Davida Fornarotto
>Paralegal
>Thomson multimedia Licensing Inc.

Shipment Airwaybill

PPF
PPF

1-800-CALL-DHL (Non negotiable) in USA only
WEB ADDRESS: http://www.dhl.com

8879654851
Quote this shipment number in an inquiry

ORIGIN	DESTINATION
TIN	151

1 From (Shipper)

Account no.: 781023933

Shipper's reference: 88914, 89399 89400

Company name: THOMSON MULTIMEDIA LICENSING

Shipper's name: Ms. Davida Fornarotto

Address:
2 INDEPENDENCE WAY
PRINCETON NJ

Zip code (required): 089406620

Phone/Fax/E-mail *circle one* (required): (609) 734-9400

2 To (Recipient)

Company name:

Attention: Mr. Mehmet Kemal Ozkan

Delivery address:
Idealtepe Mah. Aydin Sok.
Serdaroglu Apt 10/14
Maltepe 81580 Istanbul
Turkey

Zip/Postcode (required):

Phone/Fax/E-mail *circle one* (required):

3 Shipment details

Domestic Services
- ☐ USA OVERNIGHT

International Services
- ☒ INT'L DOCUMENT EXPRESS
- ☐ WORLDWIDE PRIORITY EXPRESS *Non document shipments / unlimited weight*
- ☐ WORLDFREIGHT *Price arrangements required / 220 lbs. Minimum*

WorldMail Services ☐ 1st class ☐ APM ☐ 2nd class ☐ Other

Special Services *extra charges may apply*
- ☐ SATURDAY DELIVERY *(not available to all locations)*
- ☐ POD *Shipper's fax/e-mail for POD (optional)*
- ☐ OTHER *specify*

Payment Options *not all options available to all countries*
- ☒ Shipper's account
- ☐ Recipient ☐ Third party

Acct. No.

☐ Cash / Check / Credit Card *circle one*

No.

Expires Type

Shipment Insurance *If desired, enter amount below*

U.S. $ *Insured value*

Full description of contents

BUSINESS DOCUMENTS

International non document shipments only
Attach original and four copies of a Commercial Invoice

Declared value for customs (In US $).

Export license No./Symbol *(if applicable)*

Harmonized Sched. B No. *(if applicable)*

Type of export *If left blank, Permanent is assumed*
- ☐ Permanent
- ☐ Temporary ☐ Repair/Return

Shipper's EIN/SSN

These commodities, technology or software were exported from the United States in accordance with the export administration regulations. Diversion contrary to U.S. law prohibited.

Destination duties/taxes *If left blank recipient pays duties/taxes*
- ☐ Recipient ☐ Shipper ☐ Other *Specify destination approved account number*

4 Pcs/Weight/Size

No. of pieces: 1

Weight *if DHL Express Document packaging is used, enter XD*: 1 lb

Dimensions *in inches*

Pieces	length	width	height
1 @	x	x	
@	x	x	
@	x	x	

DIMENSIONAL/CHARGED WEIGHT: lb

CODES	CHARGES Services
	Special services
	Insurance
Drop Box/ Exp. Center #	
TOTAL	

TRANSPORT COLLECT STICKER No.

PICKED UP BY: JC
Time: 1947
Date: 3/5/99

5 Shipper's authorization and signature

I/we agree that DHL's standard terms apply to this shipment and limit DHL's liability for loss or damage to U.S. $ 100. The Warsaw Convention may also apply (see reverse). I/we authorize DHL to complete other documents necessary to export this shipment. I/we understand that insurance is available on request, for an extra charge. I/we agree to pay all charges if the recipient or third party does not pay. I/we understand that DHL DOES NOT TRANSPORT CASH.

Signature (required): N. Fornarotto

Date: 3.5.99

557209 9/15/97

THOMSON MULTIMEDIA LICENSING INC.

DAVIDA P. FORNAROTTO
Paralegal/Administrative Assistant
Davida.Fornarotto@corporate.ge.com

Two Independence Way, Princeton, NJ 08540
Mailing Address: PO Box 5312, Princeton, NJ 08543-2023
Phone: 609-734-9597, Fax: 609-734-9700

March 5, 1999 VIA COURIER

Mr. Mehmet Kemal Ozkan
Idealtepe Mah. Aydin Sok.
Serdaroglu Apt 10/14
Maltepe 81580 Istanbul, Turkey

Re: RCA 88914, RCA 89399 and RCA 89400
Forms To Be Signed

Dear Mr. Ozkan:

Thank you so much for your E-mail response concerning your address. Enclosed please find a PCT Power of Attorney form and a PCT Assignment form for each of the above applications, which require your signature so that we can file these cases via the PCT.

Before you sign these forms, please look over the attached copies of the above applications. These are the applications as filed via the PCT.

Please sign and date these forms with your FULL NAME where indicated, as per the post-it notes attached. NO NOTARIZATION IS REQUIRED on these forms. However, on the Assignment forms your signature must be witnessed by two witnesses.

Please change your address directly on the Assignment forms and place your initials next to each change.

I have enclosed a DHL label, as you requested. I hope this helps you in returning the forms to me.

If you have any questions, please do not hesitate to contact me at 609-734-9597. Thank you for your help in this matter.

Very truly yours,

Davida

Ms. Davida Fornarotto
df
Enc.

reminder sent by E-mail 3-24-99

EXHIBIT D

Fornarotto, Davida (CORP, GERLMO)

From: Mehmet Ozkan
Sent: Thursday, March 25, 1999 2:36 AM
To: Fornarotto, Davida (CORP, GERLMO)
Subject: Re: RCA 88914, RCA 89399 and RCA 89400 forms

Ms. Davida Fornarotto,

I did receive the applications and will be sending them to you very soon

regards

Mehmet Ozkan

-----Original Message-----
From: Fornarotto, Davida (CORP, GERLMO) <davida.fornarotto@corporate.ge.com>
To: 'mehmet.ozkan@do.net.tr' <mehmet.ozkan@do.net.tr>
Date: Wednesday, March 24, 1999 8:22 PM
Subject: RCA 88914, RCA 89399 and RCA 89400 forms

>Dear Mr. Ozkan:
>
>This is further to my letter dated March 5, 1999 in which I enclosed forms
>for each of the above applications which required your signature.
>
>Would you please reply by return e-mail as to whether you received the
above
>letter and forms and as to whether you will be able to send them back to me
>immediately.
>
>Thank you for your help in this matter.
>
>
>Ms. Davida Fornarotto

Fornarotto, Davida (CORP, GERLMO)

From: Fornarotto, Davida (CORP, GERLMO)
Sent: Wednesday, March 31, 1999 9:36 AM
To: 'mehmet.ozkan@do.net.tr'
Subject: Forms for RCA 88914, RCA 89399 and RCA 89400

Dear Mr. Ozkan:

I am sorry to bother you again about these forms.

Would you please return these forms as soon as possible as I have a due date to submit them to the Patent Office by April 12, 1999.

Please let me know if you have already sent them or when you will be sending them.

Thank you for your help.

Davida Fornarotto

Fornarotto, Davida (CORP, GERLMO)

From: Mehmet Ozkan
Sent: Monday, April 5, 1999 4:42 AM
To: Fornarotto, Davida (CORP, GERLMO)
Subject: Re: Forms for RCA 88914, RCA 89399 and RCA 89400

Ms. Fornarotto,

Since I could not use the DHL Airwaybill you included from Turkey (they said they needed a different International number), I am sending the documents with someone travelling to US tomorrow, and he will be sending the documents from there.

I hope this will not be a problem for the application dead-line

Regards

Mehmet Ozkan

-----Original Message-----
From: Fornarotto, Davida (CORP, GERLMO) <davida.fornarotto@corporate.ge.com>
To: 'mehmet.ozkan@do.net.tr' <mehmet.ozkan@do.net.tr>
Date: Wednesday, March 31, 1999 5:42 PM
Subject: Forms for RCA 88914, RCA 89399 and RCA 89400

>Dear Mr. Ozkan:
>
>I am sorry to bother you again about these forms.
>
>Would you please return these forms as soon as possible as I have a due date
>to submit them to the Patent Office by April 12, 1999.
>
>Please let me know if you have already sent them or when you will be sending
>them.
>
>Thank you for your help.
>
>Davida Fornarotto

EXHIBIT E

April 6, 2000

DHL WORLDWIDE EXPRESS

Thomson Multimedia
Attention: Davida Fornarotto
2 Independence Way
Princeton, New Jersey 08540
Fax #: 609-734-9700

Re:

Airwaybill:	7786866555
Date Shipped:	November 12, 1999
Shipper:	Thomson Multimedia
Consignee:	Mr. Mehmet Kamal Ozkan
City, State, Zip:	Istanbul
Country:	Turkey

Dear Davida Fornarotto:

In response to your inquiry concerning the above referenced shipment, DHL Worldwide Express has traced this shipment through our shipping cycle and has ascertained the following:

This shipment was undeliverable due to the recipient was unknown at the address listed on the airwaybill. Our DHL office in Turkey could not contact Mr. Ozkan because there was no telephone listed. The shipper requested to have the shipment returned. On November 18, 1999 this shipment was returned under airwaybill number 6045724775.

DHL sincerely regrets any inconvenience that may have resulted with regards to this shipment. We value our relationship with our customers and hope you will allow us to continue providing services to your company.

Sincerely,

Shaniqua Pritchet

Shaniqua Pritchet
Service Inquiry Representative
1-800-225-5345, Ext. 1637

2 of 8

DHL Worldwide Express
1900 W. University Dr.
Tempe, AZ 85281
(602) 921-8831

EXHIBIT F

Mehmet.Ozkan@kanald.com.tr
Forms to be Signed

November 22, 1999

Dear Mr. Ozkan:

I have some forms that require your signature.

Would you please advise me, BY RETURN E-MAIL, whether the following address is your correct, present address and if I can mail the forms to you there.

Ortaklar Caddesi No.: 17
Mecidiyekoy 80290
Istanbul, Turkey

My e-mail is: fornarottod@tce.com

Thank you for your help.

Ms. Davida Fornarotto

4

EXHIBIT G

Lagoni Bill
RE: Mehmet Ozkan

No. I sent an e-mail to him on November 22nd and have not heard from him.

Thank you for checking on me. I was going to contact you today to see what I can do now. I don't want to send the forms to him unless I hear from him first.

The date that the cases have to be filed by is January 10, 2000. I am sending the cases out now and the forms will have to follow.

Thanks

Davida

-----Original Message-----
From: Lagoni Bill
Sent: Wednesday, December 01, 1999 8:51 AM
To: Fornarotto Davida (Princeton)
Subject: RE: Mehmet Ozkan

Were you successful?

-----Original Message-----
From: Lagoni Bill
Sent: Monday, November 22, 1999 3:37 PM
To: Fornarotto Davida (Princeton)
Subject: FW: Mehmet Ozkan

Davida:
This e-mail worked successfully shortly after the first earthquake, so hopefully is still good. Note the attached includes his e-mail address, business address and business phone.

WAL

-----Original Message-----
From: Hall Susan (Tech Library Indy)
Sent: Monday, November 22, 1999 3:31 PM
To: Lagoni Bill
Subject: FW: Mehmet Ozkan

<< File: Mehmet Ozkan.vcf >>

3

Mehmet Ozkan
Ozkan
Mehmet

Business Address: Ortaklar Caddesi No: 17
Mecidiyekoy 80290
Istanbul-Turkey

Business: (+90-212) 215 51 11
Business 2: (+90-212) 215 51 63
Business Fax: (+90-212) 215 51 72

E-mail: mehmet.ozkan@kanald.com.tr

EXHIBIT H

Lagoni Bill
Mehmet Ozkan

December 3, 1999

I still have not heard from Mr. Ozkan. What can I do now?

Davida

5

Lagoni Bill
Saturday, December 04, 1999 1:37 PM
Fornarotto Davida (Princeton)
RE: Mehmet Ozkan

on't know. I sent a message to the e-mail address I gave you and marked it for confirmation of receipt and confirmation being read, and got no response from either. I don't have any other ideas.

Original Message—
m: Fornarotto Davida (Princeton)
t: Friday, December 03, 1999 4:57 PM
Lagoni Bill
ject: Mehmet Ozkan

cember 3, 1999

ill have not heard from Mr. Ozkan. What can I do now?

vida

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EXHIBIT I

Lagoni Bill
Mehmet Kemal Ozkan

Subject:

December 7, 1999

Further to your response to my e-mail, I still have not heard from Mr. Ozkan.

I spoke to Mr. Krittman, and we will have to file a Petition under 37C.F.R.1.47(a) in the US (and any other countries that require forms to be signed) stating the process that we used to try to find Mr. Ozkan.

Thank you for your help.

Davida

7

ornarotto Davida (Princeton)

rom: Lagoni Bill
ent: Tuesday, December 07, 1999 4:07 PM
o: Fornarotto Davida (Princeton)
ubject: RE: Mehmet Kemal Ozkan

hanks for the information about what is involved. I twice sent e-mails to the address I gave you and marked them for onfirmation of delivery as well as being read; they have repeatedly been returned as undeliverable.

/AL

—Original Message—
om: Fornarotto Davida (Princeton)
ent: Tuesday, December 07, 1999 3:29 PM
o: Lagoni Bill
ubject: Mehmet Kemal Ozkan

ecember 7, 1999

urther to your response to my e-mail, I still have not heard from Mr. Ozkan.

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hank you for your help.

avida

cannot locate

7 + 8

EXHIBIT J

Fornarotto Davida (Princeton)

To: mehmet.ozkan@do.net.tr
Subject: RCA 88914, 89399 and 89400 Forms to be signed

July 21, 2000

Dear Mr. Ozkan:

This is to advise you that we have some forms for the above applications that require your signature.

Would you please contact me by return e-mail and let me know your present home address, phone number, and any other information concerning where you are presently located.

We have been trying to locate you since April 1999.

Thank you for your help.

Davida Fornarotto
Thomson multimedia Licensing Inc.
2 Independence Way
Princeton, New Jersey 08540 USA

Phone: 609-734-9597

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